

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10571991
	Filing Date		2006-03-15
	First Named Inventor	Bernard Barlaam	
	Art Unit	1624	
	Examiner Name	Douglas M. Willis	
	Attorney Docket Number	09963.0008	

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	4	20050130995	A1	2005-06-16	Nishino et al.	
	5	20050148607	A1	2005-07-07	Suzuki et al.	

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6	20060167026	A1	2006-07-27	Nawa et al.	
7	20060188501	A1	2006-08-24	Homma et al.	
8	20090312313	A1	2009-12-17	Shimizu et al.	

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	2	2001/21160	WO	A2	2001-03-29	Axxima Pharmaceuticals Aktiengesellschaft		<input type="checkbox"/>
	3	2001/32155	WO	A2	2001-05-10	The University of Manchester		<input type="checkbox"/>
	4	2001/64642	WO	A2	2001-09-07	COR Therapeutics, Inc.	(Submitted in 3 parts)	<input type="checkbox"/>
	5	2002/05791	WO	A2	2002-01-24	Pharmacia & Upjohn S.P.A.		<input type="checkbox"/>
	6	2002/17712	WO	A2	2002-03-07	FMC Corporation	(Submitted in 2 parts)	<input type="checkbox"/>

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7	2002/20020	WO	A1	2002-03-14	Pharmacia & Upjohn, S.P.A.		<input type="checkbox"/>
8	2002/30358	WO	A2	2002-04-18	Tularik, Inc.		<input type="checkbox"/>
9	2003/031406	WO	A2	2003-04-17	IRM LLC		<input type="checkbox"/>
10	2003/097086	WO	A2	2003-11-27	Technische Universitat Munchen	(Submitted in 2 parts)	<input type="checkbox"/>
11	2003/097615	WO	A1	2003-11-27	Scios, Inc.	(Submitted in 2 parts)	<input type="checkbox"/>
12	2003/099276	WO	A1	2003-12-04	Bristol-Myers Squibb Company	(Submitted in 7 parts)	<input type="checkbox"/>
13	2004/010929	WO	A2	2004-02-05	Scios, Inc.		<input type="checkbox"/>
14	2004/072038	WO	A1	2004-08-26	Vertex Pharmaceuticals, Inc.		<input type="checkbox"/>
15	2004/085385	WO	A2	2004-10-07	Schering Corporation		<input type="checkbox"/>
16	2004/096224	WO	A2	2004-11-11	Boehringer Ingelheim International GmbH		<input type="checkbox"/>
17	2005/001053	WO	A2	2005-01-06	Waksal et al.		<input type="checkbox"/>

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18	2005/003325	WO	A2	2005-01-13	Dana Farber Cancer Institute		<input type="checkbox"/>
19	2005/016347	WO	A1	2005-02-24	Pfizer Products, Inc.		<input type="checkbox"/>
20	2005/030140	WO	A2	2005-04-07	Ex-Elixix, Inc.	(Submitted in 4 parts)	<input type="checkbox"/>
21	2000/72849	WO	A1	2000-12-07	Hadasit Medical Research Services and Development		<input type="checkbox"/>
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	2	ALFEREZ ET AL. "Inhibiting Signaling by erbB Receptor Tyrosine Kinases with AZD8931, a Potent Reversible small Molecule Inhibitor, Reduces Intestinal Adenoma Formation in the ApcMin/+ Mouse Model". EORTC-NCI-AACR (2010), Poster	<input type="checkbox"/>
	3	BLOWERS "AZD8931". IASLC ANNUAL TARGETED THERAPIES OF THE TREATMENT OF LUNG CANCER MEETING (2011), Santa Monica, CA, PowerPoint Presentation	<input type="checkbox"/>
	4	CRISTOFANILLI ET AL. "Exploratory Subset Analysis According to Prior Endocrine Treatment of Two Randomized Phase II Trials Comparing Gefitinib (G) with Placebo (P) in Combination with Tamoxifen (T) or Anastrozole (A) in Hormone Receptor-Positive (HR+) Metastatic Breast Cancer (MBC)". J CLIN. ONCOL. (2009), Vol. 27, 15s, Abstract 1014	<input type="checkbox"/>

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5	HICKINSON ET AL. "AZD8931, an Equipotent, Reversible Inhibitor of Signaling by Epidermal Growth Factor Receptor, ERBB2 (HER2) and ERBB3: A Unique Agent for Simultaneous ERBB Receptor Blockade in Cancer". CLIN. CANCER RES. (2010), Vol. 16, No. 4, 1159-1169	<input type="checkbox"/>
6	KEILHOLZ ET AL. "Phase I Dose-Finding Study of Monotherapy with AZD8931, an Inhibitor of erbB1, 2 and 3 Signaling, in Patients with Advanced Solid Tumors". J CLIN ONCOL. (2011), Vol. 29, Abstract 3097	<input type="checkbox"/>
7	KEILHOLZ ET AL. "Phase I Dose-Finding Study of Monotherapy with AZD8931, an Inhibitor of erbB1, 2 and 3 Signaling, in Patients with Advanced Solid Tumors". ASCO (2011), Poster	<input type="checkbox"/>
8	KLINOWSKA ET AL. "AZD8931, an Equipotent, Reversible Inhibitor of erbB1, erbB2 and erbB3 Receptor Signaling: Characterisation of Pharmacological Profile". EUROPEAN JOURNAL OF CANCER SUPPLEMENTS (2009), Vol. 7, No. 2, 127	<input type="checkbox"/>
9	LOPEZ-MARTIN ET AL. "Phase I Dose-Finding Study of AZD8931, an Inhibitor of erbB1, 2 and 3 Receptor Signaling, in Combination with Paclitaxel". J CLIN. ONCOL. (2011), Vol. 29, Abstract 3105	<input type="checkbox"/>
10	LOPEZ-MARTIN ET AL. "Phase I Dose-Finding Study of AZD8931, an Inhibitor of erbB1, 2 and 3 Receptor Signaling, in Combination with Paclitaxel". ASCO (2011), Poster	<input type="checkbox"/>
11	MARSHALL ET AL. "Evaluation of AZD8931, an Equipotent Inhibitor of erbB1, erbB2 and erbB3 Receptor Signaling, on Ligand Stimulated Breast Cancer Cell Lines with Differing Levels of erbB2 Expression". SABCS (2009), Abstract 5059	<input type="checkbox"/>
12	NORMANNO ET AL. "Target-based therapies in breast cancer: current status and future perspectives". ENDOCR RELAT CANCER (2009), Vol. 16(3): 675-702	<input type="checkbox"/>
13	SPEAKE ET AL. "Characterization of AZD8931, a Potent Reversible Small Molecule Inhibitor Against Epidermal Growth Factor Receptor (EGFR), Erythroblastic Leukemia Viral Oncogene Homolog 2 (HER2) and 3 (HER3) with a Unique and Balanced Pharmacological Profile". J CLIN. ONCOL. (2009), Vol. 27, 15s, Abstract 11072	<input type="checkbox"/>
14	United States Court of Appeals for the Federal Circuit, Genetics Institute, LLC v. Novartis Vaccines and Diagnostics, Inc., 2010-124; Appeal from the USDC for the District of Delaware in Case No. 08-CV-0290, Judge Sue L. Robinson, Decided August 23, 2011	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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☒ See attached certification statement.

☐ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Robert N.Young/	Date (YYYY-MM-DD)	2011-09-15
Name/Print	Robert N. Young	Registration Number	48412

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